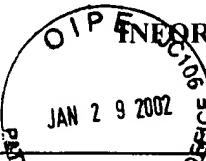


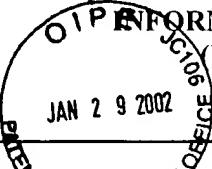
Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office			Atty. Docket No. 53437-A-PCT- US/JPW/SHS		Serial No. 09/534,711
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)					Applicants Livingston, et al.		RECEIVED U.S. Patent and Trademark Office March 24, 2000 FEE PAID 1642 TECH CENTER 1600/2900 1600/2900
					Filing Date March 24, 2000		
U.S. PATENT DOCUMENTS							
Examiner Initial	Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
D		Adluri, S., et al. (1995) "Immunogenicity of synthetic TF-KLH (keyhole limpet hemocyanin) and sTn-KLH conjugates in colorectal carcinoma patients." <u>Cancer Immunol. Immunother.</u> 41: 185-192 (Exhibit 1)					
J		Bernhard, H., et al. (1992) "Immunorecognition of ganglioside epitopes on human normal and melanoma tissues." <u>Int. J. Cancer</u> 51: 568-572 (Exhibit 2)					
V		Brezicka, F. T., et al. (1989) "Immunohistological detection of fucosyl-GM1 ganglioside in human lung cancer and normal tissues with monoclonal antibodies." <u>Cancer Res.</u> 49: 1300-1305 (Exhibit 3)					
✓		Cheung, N-K. V., et al. "Monoclonal antibody to a glycolipid antigen on human neuroblastoma cells." <u>Cancer Res.</u> 45: 2642-2649 (Exhibit 4)					
EXAMINER	<i>Original</i>	DATE CONSIDERED		<i>4/11/02</i>			
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

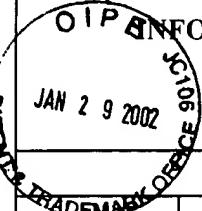
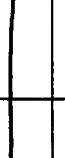
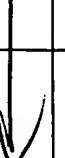
***EXAMINER:** Initial evaluation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicants: Philip O. Livingston,
et al.
U.S. Serial No.: 09/534,711
Filed: March 24, 2000
(Exhibit A)

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		INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)			Applicants Livingston, et al.			
					Filing Date March 24, 2000		Group 1642	
U.S. PATENT DOCUMENTS								
Examiner Initial	Document Number		Date	Name	Class	Subclass	Filing Date if Appropriate	
FOREIGN PATENT DOCUMENTS								
	Document Number		Date	Country	Class	Subclass	Translation	
							Yes	No
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	Cheung, N-K. V., et al. (1987) "Ganglioside GD2 specific monoclonal antibody 3F8: a phase-I study in patients with neuroblastoma and malignant melanoma." <u>J. Clin. Oncol.</u> 5: 1430-1440 (Exhibit 5)							
	Dippold, W. G., et al. (1985) "Immunohistochemical localization of ganglioside GD3 in human malignant melanoma, epithelial tumors, and normal tissues." <u>Cancer Res.</u> 45: 3699-3705 (Exhibit 6)							
	Finn, O. J., (1995) "Immunotherapy: tumor vaccines." <u>Proc. Am. Assoc. Cancer Res.</u> 36: 675 (Exhibit 7)							
	Hamilton, W. B., et al. (1993) "Ganglioside expression on human malignant melanoma assessed by quantitative immune thin-layer chromatography." <u>Int. J. Cancer</u> 53: 566-573 (Exhibit 8)							
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<small>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>								

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Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 53437-A-PCT- US/JPW/SHS	Serial No. 09 RE714290		
 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> <p>JAN 29 2002</p>				Applicants Livingston, et al.	FEB 14 2002		
				Filing Date March 24, 2000	TECH CENTER 1600/290 1642		
U.S. PATENT DOCUMENTS							
Examiner Initial	TRADEMARK OFFICE 901	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
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							Yes
							No
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Helling, F., et al. (1994) "GD3 vaccines for melanoma: superior immunogenicity of keyhole limpet hemocyanin conjugate vaccines." <u>Cancer Res.</u> 54: 197-203 (Exhibit 9)					
		Helling, F. et al. (1995) "GM2-KLH conjugate vaccine: increased immunogenicity in melanoma patients after administration with immunological adjuvant QS-21." <u>Cancer Res.</u> 55: 2783-2788 (Exhibit 10)					
		Kensil, C. R., et al. (1991) "Separation and characterization of saponins with adjuvant activity from <i>Quillaja saponaria</i> molina cortex." <u>J. Immunology</u> 146: 431-437 (Exhibit 11)					
		Livingston, P. O., et al. (1994) "Improved survival in stage III melanoma patients with GM2 antibodies: a randomized trial of adjuvant vaccination with GM2 ganglioside." <u>J. Clin. Oncol.</u> 12: 1036-1044 (Exhibit 12)					
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<small>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office			Atty. Docket No. 53437-A-PCT- US/JPW/SHS		Serial No. 09/534,712	
 INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)					Applicants Livingston, et al.			
		Filing Date March 24, 2000		Group 1642				
U.S. PATENT DOCUMENTS								
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						<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
		Nilsson, O., et al. (1986) "Detection of a ganglioside antigen associated with small cell lung carcinomas using monoclonal antibodies directed against fucosyl-GM1." <u>Cancer Res.</u> 46: 1403-1407 (Exhibit 17)						
		Ragupathi, G. (1997) "Phase I trial with GD3-lactone-KLH conjugate and immunological adjuvant QS-21 vaccine with malignant melanoma." <u>Proc. Am. Assoc. Cancer Res.</u> 38: 398 (Exhibit 18)						
		Vangsted, A.J., et al. (1991) "Immunochemical detection of a small cell lung cancer-associated ganglioside (FucGM1) antigen in serum." <u>Cancer Research</u> 51: 2879-2884 (Exhibit 19)						
		Zhang, S., et al. (1997) "Selection of tumor antigens as targets for immune attack using immunohistochemistry. I. Focus on gangliosides." <u>Int. J. Cancer</u> 73: 42-49 (Exhibit 20)						
EXAMINER 		DATE CONSIDERED		4/11/02				
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